

PATENT
Docket No. 110.0113 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	James B. McCarthy, et al.)	Group Art Unit:	Unknown
)		
Serial No.:	09/600,432)	Examiner:	Unknown
)		
Filed:	July 14, 2000)	Int'l Filing Date:	January 21, 1999
)		
For:	PEPTIDES WITH β 1 INTEGRIN SUBUNIT DEPENDENT CELL ADHESION MODULATING ACTIVITY			

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371" (copy enclosed), an executed Declaration by the named inventors is enclosed. The surcharge for providing the Declaration later than the appropriate 20 or 30 months from the priority date was previously paid. The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

Respectfully submitted,
JAMES B. MCCARTHY, ET AL.
By Applicants' Representatives,
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Oct. 2, 2000
Date

By: Ann M. Mueting

Ann M. Mueting
Reg. No. 33,977
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I hereby certify that this paper and/or fee is/are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Asst Commissioner for Patents, BOX PCT, Washington, D. C. 20231.

Date of Deposit: October 2, 2000
Express Mail label number: EL542401343US

Marc Ireland
Name: Marc Ireland

09/60043



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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U.S. APPLICATION NO. 09/600,432	MCCARTHY	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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ANN M MUETING
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PCT/US99/01236 INTERNATIONAL APPLICATION NO.

I.A. FILING DATE 01/21/99	PRIORITY DATE 01/22/98
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DATE MAILED: 08/02/00

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☐ a non-English language.
☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 14 July 00 and _____.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

MSAPB 9/2/00 DV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James B. McCarthy, et al. Group Art Unit: Unknown
 Serial No.: 09/600,432 Examiner: Unknown
 Filed: July 14, 2000 (Int'l Filing Date: January 21, 1999) Docket No.: 110.01130101
 Title: PEPTIDES WITH β 1 INTEGRIN SUBUNIT DEPENDENT CELL ADHESION MODULATING ACTIVITY

Assistant Commissioner for Patents

BOX PCT

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ Response to Notification of Missing Requirements (1 pg) and copy of Form PCT/DO/EO/905.
☒ A signed Combined Declaration and Power of Attorney (8 pgs).
☒ A Petition for Extension of Time for 1 month(s) and a check in the amount of \$110.00 for the required fee.
☒ A return postcard.
☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$39 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$130 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895.

MUETING, RAASCH & GEBHARDT, P.A.
 P.O. Box 581415, Minneapolis, MN 55458 (612-305-1220)

By: Ann M. Mueeting
 Name: Ann M. Mueeting
 Reg. No.: 33,977
 Direct Dial: 612-305-1217
 Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:"Express Mail" mailing label number: EL542401343USDate of Deposit: October 2, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

By: Marc Ireland
 Name: Marc Ireland